



Alexander von
HUMBOLDT
STIFTUNG

Humboldt Research Professorship

International Award for Research in Germany

Information and Recommendations for the Humboldt Research Professorship /
Regulations on the Use of Funds

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(as of: August 2026)

Preface

The Alexander von Humboldt Foundation links Germany to the knowledge of the world. In global competition for the topmost experts, it offers to this end various programmes to attract scientists and scholars at different stages of their careers. Support involves both funding and personal support in all matters relating to a stay in Germany and to subsequent cooperations. For these cooperations, the Alexander von Humboldt Foundation offers numerous other kinds of sponsorship in the framework of its alumni programmes. In this way, an active international network of 32,000 academics has grown since the Foundation was set up in 1953.

Through its Humboldt Research Professorships funded by the Federal Ministry of Research, Technology and Space as part of the Global Minds Initiative Germany, the Alexander von Humboldt Foundation enables top-tier researchers of all disciplines from other countries to carry out their innovative and creative research projects in Germany and establish them at German universities. Apart from sponsoring international science, the Alexander von Humboldt Foundation aims to develop a personal relationship between the researchers from all countries and disciplines and Germany itself. This does not only happen in laboratories and libraries but in everyday life: through contacts to the people of the country. To intensify these contacts, the Alexander von Humboldt Foundation sponsors German courses for the award winners and their partners. At the annual meeting and other events organised by the Alexander von Humboldt Foundation, there is an opportunity to get to know other Humboldtians and the Foundation's staff personally.

This brochure is intended as a guide for award winners and representatives of the receiving institutions, offering practical advice, and explaining the rules of the programme. Details as to the use of the funds are regulated in the enclosed "Regulations on the Use of Funds". The Alexander von Humboldt Foundation is grateful for any suggestions which might improve or supplement this brochure. I wish the award winners successful academic collaborations in Germany, as well as all the best personally. I would be pleased to welcome you to one of our events.

Bonn, August 2026



Dr. Markus Zanner
Secretary General of the
Alexander von Humboldt Foundation

A. The Humboldt Research Professorship

Through its Humboldt Research Professorships funded by the Federal Ministry of Research, Technology and Space as part of the Global Minds Initiative Germany, the Alexander von Humboldt Foundation enables top-tier researchers of all disciplines from other countries to carry out their innovative and creative research projects in Germany and establish them at German universities. Award winners are expected to initiate long-term collaborations with specialist colleagues in Germany, set up and manage an international working group in Germany and develop additional promising and creative research formats to optimally suit their research interests and methodologies.

The award is aimed at outstanding researchers from abroad who can be expected to achieve further scientific breakthroughs in the future based on their highly successful, unconventional, innovative and audacious previous research.

Receiving institutions will be given flexible options for integrating and institutionally embedding the award winners, for example in the form of shared professorships or lab-to-lab professorships, providing significant latitude to recruit outstanding academics to work on internationally highly competitive research topics in Germany and structurally expand fields of research.

Humboldt Research Professors will be permitted to simultaneously continue conducting their own professorships or working groups in their countries of origin during the sponsorship. The funding regulations also permit them to relocate fully to Germany; long-term prospects for remaining in the country can be negotiated locally on an individual basis.

The award amount is usually EUR 1.5 million for theoretical researchers and EUR 3 million for academics in experimental disciplines, both over a period of five years. The receiving institution in Germany will be provided with an additional lump sum for administration amounting to 20% of the award amount. In the event that the award winner remains in Germany on a long-term basis, for example as a result of being appointed to a permanent professorship or comparable lifetime position, the receiving institution may under certain conditions apply for additional funding of up to EUR 500,000 and an extension of the sponsorship period by one year.

1. Conferment of award

The Award is conferred by the Alexander von Humboldt Foundation, under the auspices of the Federal Ministry of Research, Technology and Space.

The scientists and scholars who have been selected are informed about the conferment of award in writing by the Alexander von Humboldt Foundation (award letter). At the same time, a copy of the award letter is sent by the Alexander von Humboldt Foundation to the receiving institutions in Germany. The value of the award is stated in the award letter.

The German-language text of both the award letter and this brochure “Humboldt Research Professorship – International Award for Research in Germany. Information and Recommendations

for the Humboldt Research Professorship / Regulations on the Use of Funds”, is authoritative; the English language text merely serves as a translation.

The awards will be bestowed on the award winners at an Award Ceremony in Berlin.

2. Acceptance of award and commencement of research work in Germany

By submitting the declaration of acceptance (which is accessible online, as is the award letter) the recipients of the award confirm that they accept the award and are in agreement with the conditions pertaining to the regulations governing the use of funds. The award funds will be available to the award winners immediately after the conferment of the award to carry out research of their own choice in Germany over a period of five years. In the event that the award winner remains in Germany on a long-term basis, for example as a result of being appointed to a permanent professorship or comparable lifetime position, the receiving institution may under certain conditions apply for additional funding of up to EUR 500,000 and an extension of the sponsorship period by one year.

The award winner and the receiving institution agree jointly on the commencement of the planned research work, which should be as soon as possible, as a general rule within 6 months, at the latest within 12 months after the conferment of the award.

3. Taxation

Award winners are responsible for all matters pertaining to their taxation.

The Alexander von Humboldt Foundation assumes that the award will be classified as a grant towards a research project in Germany and, as such, be free of tax. Whether the proportion of the award funds earmarked for covering living expenses is taxable should be examined individually. In this case, any existing double taxation agreements may be relevant.

The laws in the award winners’ own countries or countries of residence may include special regulations on taxing awards. If in doubt, award winners should consult a tax advisor in their own country.

Note: After registration with the Residents’ Registration Office, the Federal Central Tax Office sends out a letter notifying the Tax Identification Number (*see also B.2*). This number is allocated to every person recorded in a register of residents in Germany, regardless of whether that person is required to pay tax in Germany.

4. German language course

If award winners or their partners wish to attend German language courses, the Alexander von Humboldt Foundation is prepared, as far as possible, to bear the costs for the course. Application should be made in writing to the Alexander von Humboldt Foundation before the course begins (stating the duration, number of lessons and course fee). The Alexander von Humboldt Foundation

expects a regular attendance. In the event of absence from lessons, the refund of course fees may be required.

5. Annual Meeting of the Alexander von Humboldt Foundation

The Alexander von Humboldt Foundation's annual meeting takes place in Berlin in the summer (June/July). All **Humboldtians** from all disciplines and countries staying in Germany are invited once to attend this meeting **with their families**. The meeting offers an opportunity to exchange views with representatives of foreign missions and to talk with members of the Board of Trustees, the Selection Committees, and the staff of the Alexander von Humboldt Foundation. The highlight of the annual meeting is a reception given by the President of the Federal Republic of Germany.

6. Report on Award Winners' experiences

Apart from reports on work carried out and results achieved (see also Regulations on the Use of Funds, VIII.), towards the end of the funding period, the Alexander von Humboldt Foundation asks the award winners to submit a short, informal report including information on the scientific cooperation with the receiving institution, contacts to other research institutions, as well as the personal impressions of their stay in Germany. Comparisons with the situation in their own country or their country of residence before accepting the Humboldt Research Professorship are particularly interesting. Any suggestions about further development of the Humboldt Research Professorship and other sponsorship programmes are welcome.

The Alexander von Humboldt Foundation also asks the receiving institutions in Germany to report on their experiences in cooperating with the award winners.

For the Alexander von Humboldt Foundation, the reports are both important and revealing because scientists from abroad often observe things much more precisely and are able to base their judgements on greater objectivity. The reports will be evaluated carefully and confidentially. They can help the Alexander von Humboldt Foundation to continue improving their programmes and making them as effective as possible.

B. General conditions and recommendations for the stay in Germany

1. Entry requirements, visa

Citizens of *EU member states*, as well as those from *Iceland, Liechtenstein, Norway and Switzerland* neither require an entry visa nor a permit for stays of longer duration. If they are planning to spend a longer period of time in Germany (more than three months), they are usually only required to register with the local Residents' Registration Office.

Citizens of *other countries* generally require a valid residence title for their stay and their academic work in Germany. Detailed information on this can be obtained from the cultural sections of the Federal Republic of Germany's diplomatic or consular missions in award winners'

own countries or countries of residence. Addresses and further important information regarding entry requirements are available on the website of the [Federal Foreign Office](#).

Usually, award winners must apply for an **entry visa** from the appropriate German diplomatic mission in their own country or country of residence before entering Germany. It is essential that the visa be issued for the first place in Germany at which the stay is supposed to begin. “Scientific work” at the respective research institute is to be indicated as the reason to travel. In case a long-term stay in Germany is planned, the so-called national **D-Visa** is to be applied for. It entitles the holder to enter and stay in Germany for up to 90 days within its period of validity (usually up to 90 days) and to stay in other Schengen countries for up to 90 days within a 180-day period. D-visas or residence visas are issued by the visa section of the appropriate German diplomatic mission. Award winners should **in no case** enter Germany on a Schengen Visa of the category “C”. It entitles to enter Germany for short- term stays of up to 90 days **only** and **cannot** be extended.

Citizens of *Australia, Brazil, Canada, Israel, Japan, New Zealand, the Republic of Korea, the United Kingdom* and the *USA*, who can generally enter Germany without a visa, are also required to obtain a **D-visa** or **residence visa** to be allowed to take up academic work as a research award winner immediately after arriving in Germany. D-visa or residence visa are issued by the visa section of the appropriate German diplomatic mission. If award winners enter Germany without a visa, academic work can only be started once a residence permit has been issued by the responsible Aliens' Registration Office relevant in Germany. The process for issuing a residence permit usually takes several weeks. Therefore, the Alexander von Humboldt Foundation strongly recommends not to enter Germany without a visa for a research stay. For **short stays up to a maximum of 90 days within a period of 12 months**, citizens of the abovementioned countries do not need a visa and are entitled to carry out scientific work.

If partners and/or children are accompanying the award winners to Germany, it is recommended to submit the applications for all the members of the family at the same time. Award winners should bear in mind that many visa offices only accept applications after making an appointment. This should be done well in advance as it may take several weeks to arrange a date.

German foreign missions (embassies and consulates) issue visas on their own responsibility both to researchers who have been granted research awards of the Alexander von Humboldt Foundation as well as to partners and unmarried underage children accompanying them (§ 34 of the Decree on Residence, “*AufenthV*”). Processing may take several weeks, which needs to be taken into consideration when planning the time schedule.

The **residence permit issued in Germany** by the responsible Aliens' Registration Office in Germany (*see also B.2.*) entitles holders to multiple entry into the country and – under the provisions of the Convention Implementing the Schengen Agreement – to spend short periods (up to 90 days in six months) in the following countries: Austria, Belgium, Bulgaria, Croatia, Czech Republic, Denmark, Estonia, Finland, France, Greece, Hungary, Iceland, Italy, Latvia, Liechtenstein, Lithuania, Luxembourg, Malta, The Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden and Switzerland.

In case a visa is needed for merely a maximum duration of 90 days (Schengen Visa of the category “C”; **not** extendable!), an [application form](#) may be filled out online. However, a print-out of the completed form must be submitted personally at the German diplomatic mission together with the necessary application documents. “Scientific work” at the respective research institute is to be indicated as the reason to travel; a Schengen Visa of the category “C” for the purpose of a visit or a touristic stay does **not** entitle to take up scientific work.

2. Registration, residence permit

On arrival in Germany, award winners must register within one week with the local **Residents’ Registration Office** (which is usually located in the Town Hall or Municipal Offices) at the new place of residence. This also applies to accompanying family members. Registration forms can be obtained at the respective offices directly; some municipal authorities have included them on their websites. Any change of address in Germany has to be registered with the appropriate Residents’ Registration Office within one week.

Note: After registration with the Residents’ Registration Office, the Federal Central Tax Office sends out a letter notifying the Tax Identification Number. This number is allocated to every person recorded in a register of residents in Germany. When filing an application for child benefit to the family benefits office, the Tax Identification Number of both the applicant and the child in question must be specified.

Well before the visa expires, a **residence permit** must be applied for at the local **Aliens’ Registration Office**. As the necessary processing often takes several weeks, it is recommended to make this application as early as possible. Please also note that an appointment to make the application frequently requires prior (online) registration. The following documents usually have to be submitted:

- completed application forms for a *residence permit*; forms are obtainable from the Aliens’ Registration Office;
- *the registration document* from the Residents’ Registration Office of the place of residence in Germany;
- proof of *health insurance cover* valid in Germany;
- in certain cases, a *health certificate* issued by a registered physician in Germany (Public Health Offices in many German towns carry out the required medical examination at a relatively low charge). Since a health certificate is not always required, award winners should make enquiries at the Aliens’ Registration Office first. In general, foreign health certificates are not accepted; X-rays only if they are less than three months old;
- a valid *passport*;
- in certain circumstances, the original family status documents (marriage certificate, proof of civil partnership, children’s birth certificates, etc.);

- a recent *passport photograph*;
- a *copy of the award letter* of the Alexander von Humboldt Foundation.

Unless the Aliens' Registration Office is presented with all the necessary documents, residence permits cannot be issued or extended. Award winners who not yet have a good command of German should ask whether there is anyone at the receiving institution who knows their way around and might be willing to accompany them to the various authorities.

According to the rules of the *Immigration Act*, award winners' marital partners are allowed to take up gainful employment in Germany. Members of the family accompanying award winners enjoy, with regard to taking up gainful employment, the same legal status as the foreigner they are accompanying. As a rule, this means: marital partners are allowed to carry out occupations which do not require the agreement of the Federal Employment Agency ("Bundesagentur für Arbeit"), according to §§ 2-15 of the Employment Regulations ("*BeschV*"). Other occupations may only be carried out with the agreement of the Federal Employment Agency.

According to the Decree on Residence, (*AufenthV*), research fellows of the Alexander von Humboldt Foundation are exempt from paying fees for the issue of

- a national visa (category D, research stays of more than 3 months) according to § 52, sub-section 5, line 1, no. 1 (*AufenthV*);
- a Schengen visa (research stays of up to 3 months) according to § 52, subsection 8 (*AufenthV*) if they are travelling within the European Community for the purpose of carrying out research (see also Recommendation of the European Parliament and of the Council of 28.09.2005 (2005/761 EC));
- a residence permit in Germany and the extension thereof according to § 52, sub-section 5, line 1 no. 2 (*AufenthV*).

Marital partners and unmarried underage children accompanying research fellows are exempt from paying fees for the issue of

- a national visa (category D) according to § 52, sub-section 5, line 2 (*AufenthV*) provided that they are included in the sponsorship awarded by the Alexander von Humboldt Foundation.

As a rule, it can be assumed that award winners as well as their marital partners and unmarried underage children will also be exempt from paying these charges.

The Alexander von Humboldt Foundation provides all award winners with a Humboldt card once they have arrived in Germany, provided that a passport photograph has been submitted in advance. It should contribute to facilitating contacts with the authorities and institutions of higher education. But it is not a substitute for official identity documents.

3. Health and liability insurance, legal costs insurance, as well as other insurances

Award winners and any members of their families accompanying them must have adequate health insurance cover during the entire duration of their research stay in Germany. Any costs ensuing from illness or accident can neither be borne by the Alexander von Humboldt Foundation nor by

receiving institutions. It should be kept in mind that both out-patient and in-patient medical care are very expensive in Germany.

Health insurance coverage for a period of up to three months in Germany may be offered by award winners' existing insurance companies at home. In such cases, the health insurance company must confirm **in writing** that it will also cover risks during the stay in Germany.

For award winners from **member states of the European Union and the European Economic Area** – Austria, Belgium, Bulgaria, Croatia, Cyprus (Greek part), The Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Liechtenstein, Lithuania, Luxemburg, Malta, The Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden – **and Switzerland**, the following applies to stays **of more than three months**: if they have statutory health insurance in their home country, they may select a statutory health insurance provider in Germany to issue form S1 (E106) on request. This form allows award winners and their family members to register with a German health insurance provider. Form [S1](#) (E106) must be completed by the selected German health insurance provider before the award winner travels to Germany and sent to the statutory health insurance company in the award winner's home country stating the award winner's future address in Germany. If award winners insured through statutory health insurance in the European Union visit a physician or other medical service provider in Germany, they must initially pay for the cost of treatment out of pocket and then submit the invoice documents to the health insurance provider in their home country. Their health insurance provider will reimburse them up to the cost of the same treatment **in their home country**; possible additional costs must usually be covered by the patients themselves. We recommend that award winners contact the statutory health insurer in their home country before selecting a statutory health insurance provider in Germany and requesting form S1, as different procedures may apply in individual member states of the EU/EEA.

For stays under three months, award winners from the above-listed countries are eligible for a European Health Insurance Card, provided that they are covered by statutory health insurance in their own country. In case of illness, the health insurance scheme or company in the respective country of origin will only cover the contractual benefits that are usual in Germany and which are medically necessary and cannot be postponed until the award winner's return home.

Award winners who are not covered in this way **must** take out medical insurance in Germany for themselves and **all accompanying family members**. The personnel administration at the host institution can be contacted for further information and advice.

If award winners are employed or being offered a chair by the receiving institution, they are usually free to choose whether to be insured under the compulsory health insurance scheme or by a so-called "Ersatzkasse" (substitutional health insurance fund). Both insurance schemes provide full health insurance cover for the award winners and – if the requirements for family health insurance cover are met – for any family members accompanying them, as well. It is strongly recommended to study the small print very carefully prior to taking out private health insurance in order to avoid unwelcome surprises.

If family members are only coming on short visits to Germany and are not eligible for family insurance cover under the award winners' health insurance scheme, it is worth taking out a private

travel health insurance. The private travel health insurance provides insurance cover for **necessary medical treatment in the case of acute illness not related to preexisting illness and after an accident. An accident insurance which only pays for disability after an accident can optionally be taken out.** [Information](#) on conditions and tariffs of various health insurance companies are available on the Foundation's website.

It is worth contacting an insurance company **before** entering Germany so that any questions can be dealt with in advance. Insurance cover only takes effect when the first premium has been transferred to the insurance company's account immediately after entering the country or if direct debit from the bank account has been authorised.

Important advice on private travel health insurance:

- Illness and any consequences thereof which have been incurred **before** the insurance policy was taken out (not only chronic diseases) are excluded from insurance cover. As some latent illnesses become acute as a result of a change of climate or eating habits (e.g., kidney and gall stones) award winners are urged to have a complete medical examination prior to departure from home and, if necessary, undergo treatment.
- No insurance schemes are prepared to take on the costs of pre-natal examinations and births if the mother has become pregnant before entering Germany. A waiting period of eight months must generally be expected. If necessary, before signing a contract, award winners should discuss under what conditions the insurance company would be prepared to take on the costs if pregnancy should occur during the time spent in Germany.
- Furthermore, it is necessary to make careful enquiries as to which other kinds of treatment are not covered by the insurance company (e.g., costs for routine and prophylactic medical examinations; vaccinations).
- The cost of treatment after an accident during a stay in Germany is covered by medical insurance.
- Prior to hospitalisation, the requisite formalities and the costs which will be refunded should be discussed with the insurance company in detail. To be on the safe side, award winners or their accompanying family members should always present their insurance certificate to the hospital immediately and request the hospital to contact their insurance company straight away to confirm that the costs will be covered. It should be made clear to the doctor or hospital providing the treatment that award winners or their accompanying family members are **not** so-called **private patients** because private travel health insurance usually does not cover costs for special services such as single or double rooms or treatment by consultants or privately-affiliated doctors, so-called "Belegärzte*Belegärztinnen".
- If award winners plan to travel abroad during their stay in Germany, they should inquire with their health insurance companies well in advance whether additional insurance for travel abroad is necessary.

- Award winners are strongly urged not to change their health insurance during the stay in Germany because this can have unforeseen consequences.
- Residence permits are only issued (for all members of the family coming to Germany) if proof can be provided of health insurance coverage which must be valid from the moment of entering Germany.

Award winners are requested to read the information on conditions and tariffs of health insurance schemes very carefully and to ensure that the health insurance coverage for themselves and accompanying family members is valid from the very beginning of the stay in Germany. Award winners may, of course, take out whichever health insurance they choose, providing it offers adequate coverage for the entire duration of the stay in Germany.

Furthermore, the Alexander von Humboldt Foundation explicitly wishes to emphasize that in Germany, everyone is liable for any damage caused to a third-party. Parents are liable for their children. Thus, it is common practice to take out a private **(family) liability insurance** against any claims ensuing from accidental damage caused to others.

It should be noted that it is also possible to take out a **legal costs insurance** in Germany (e.g. for drivers). This kind of insurance covers the costs of a lawyer in the event of a dispute arising from an accident, for example. A driver's legal costs insurance policy not only provides cover for driving one's own vehicles, but also provides cover as a passenger, pedestrian or cyclist.

Further insurance options:

In Germany there are other areas of life and purposes for which individual insurance cover can be arranged besides statutory health insurance, liability insurance and legal costs insurance. For example: accident insurance (some health insurance providers include this in their standard cover), household contents insurance, travel insurance, life assurance to provide for the future and pension schemes for old age provision, incapacity or income protection insurance.

The conditions and contents of insurance policies of each insurance company, however, differ greatly. Before signing a contract it is recommended to obtain detailed information and to compare several policy quotes being considered. It is strongly recommended that it be determined whether the insurance coverage is a cost effective solution for the respective individual situation, how long contributions may be required and under what circumstances the insurance company will actually agree to pay out benefits.

4. Accommodation

It is often very difficult to find suitable accommodation. The Foundation recommends to contact as soon as possible the housing department of the Foreign Students' Advisory Office ("Akademisches Auslandsamt"), the International Office or [the Welcome Centre](#) of the host institution in Germany. Guesthouses ("Gästehäuser") for scholars from abroad are operated at a number of universities ([addresses](#) can be found on the Alexander von Humboldt Foundation's website). If accommodation at a guesthouse is desired, reservations should be made well in advance, because waiting lists can be lengthy.

C. Alumni sponsorship and Humboldt network

The Alexander von Humboldt Foundation undertakes to maintain contacts with all award winners in Germany and abroad by providing information on the Foundation's activities, inviting them to join network conferences in Germany and abroad and by offering sponsorship opportunities for renewed research stays in Germany.

Award winners are kindly requested to inform the Alexander von Humboldt Foundation of changes of the address and position, preferably via the service portal ["My Humboldt"](#), as well as about honours and awards received and other relevant events.

1. Invitation to renewed research stays in Germany

The Alexander von Humboldt Foundation would like to see award winners continue academic cooperation with specialist colleagues in Germany on a long-term basis. The invitation programme offers an opportunity to invite Alexander von Humboldt Foundation research award winners to conduct research in Germany once again.

The conferment of a research award by the Alexander von Humboldt Foundation is a unique, one-time distinction. Invitations to renewed research stays may be extended to award winners after the funding provided as part of the award has ended. Invitations serve the purpose of allowing award winners and their colleagues in Germany to continue the collaborative work initiated during previous stays, to complete a joint research project or to pursue new joint research. Decisions on applications by the Alexander von Humboldt Foundation take into consideration the scientific or scholarly justification of the invitation and the availability of funds.

Shorter visits that aim exclusively at collecting information and material or participating in conferences in Germany may be supported by daily allowances.

Only scholars and scientists in Germany are entitled to make recommendations for invitations. They need not necessarily be the award winners' original nominating hosts. [Further details](#) are available on the Foundation's website.

2. Invitation of early career researchers from Germany: The Feodor Lynen Research Fellowship Programme

Alexander von Humboldt Foundation award winners can also act as hosts in their home countries for researchers from Germany: Through the Feodor Lynen Research Fellowships for post-doctoral researchers and experienced researchers, the Foundation enables scholars from Germany with above-average qualifications to spend extended periods of research abroad in cooperation with alumni and other members of the Alexander von Humboldt Foundation Network. The selection criteria are comparable to those for research fellowships for international guest researchers. The Foundation generally expects a contribution by the hosts abroad to financing of the fellowship

amount. The specific [eligibility and funding conditions](#) can be accessed on the Foundation's website.

3. Humboldt Kosmos

[“Humboldt Kosmos”](#) – the Alexander von Humboldt Foundation's alumni magazine – is published biannually with a different interdisciplinary focus in each issue. In addition, it contains portraits of Humboldtians and reports on their research, information about sponsorship options as well as news from the Foundation and the network.

4. Humboldt Colloquia and Humboldt Kollegs

The Alexander von Humboldt Foundation regularly organizes colloquia abroad and invites Humboldtians from the country or region concerned to attend. The Foundation also invites scientists and scholars from Germany. Among these are often specialist members of the Alexander von Humboldt Foundation's selection committees. They hold lectures outlining the current state of research in Germany and explore ways of intensifying cooperation with foreign research institutes. Furthermore, contacts among Humboldtians in a given area are established or renewed and opportunities afforded for personal talks with Alexander von Humboldt Foundation's staff members. By visiting institutes of Humboldtians, members of the Humboldt delegation gain insight into the research situation in the countries in question. Information talks draw the attention of young scholars to the sponsorship opportunities offered by the Alexander von Humboldt Foundation.

Suggestions and invitations by Humboldtians for the holding of such colloquia are welcome. The Alexander von Humboldt Foundation gladly relies on the experience and assistance of Humboldtians as well as of Humboldt Alumni Associations in organising these meetings.

Initiatives of Humboldt Alumni Associations and individual Humboldtians to organise regional and interdisciplinary conferences can be supported financially. The aim is to strengthen regional and interdisciplinary networking of Humboldtians. The organisers are responsible for the content of the so-called Humboldt Kollegs. [Detailed information](#) is available on the Foundation's website.

5. Humboldt Alumni Associations

In many countries, Humboldtians have joined together to form Humboldt Alumni Associations, which cultivate social and professional contacts with one another, with the Alexander von Humboldt Foundation and with Germany. The Foundation gives full encouragement to these Alumni Associations; regrettably, it can sponsor them financially to a very modest extent only. Humboldt Alumni Associations also very often assist in attending to the needs of German scientists and scholars in foreign countries. In general, they gladly advise newly selected Humboldtians before they depart to Germany. The Alexander von Humboldt Foundation welcomes research award winners' participation in the activities of Alumni Associations. There is a Humboldt Alumni Association in Germany, as well. [Addresses](#) may be downloaded from the Foundation's website.

6. Humboldt Network Online: Information on the Alexander von Humboldt Foundation's Website

The Alexander von Humboldt Foundation furnishes up-to-date information about its activities and programmes under the Internet address <https://www.humboldt-foundation.de/en/>.

The [Explore](#) section of the Foundation's homepage contains a range of information that can be used to build cross-border and cross-disciplinary contacts in the Humboldt Network.

The service portal [My Humboldt](#) is a password-protected area that gives all Humboldtians direct access to the Alexander von Humboldt Foundation's database, thus enabling them to update their personal data (such as address changes), apply for funding and obtain current information about specialized fields and key research areas as well as contact data for Humboldtians throughout the world. Information on all scientists and scholars who have been or are being sponsored by the Alexander von Humboldt Foundation can be found and searched here. This access to current data is intended to facilitate contacts and cooperation with and within the Humboldt network across countries and disciplines. Part of this information is publicly accessible in the [Explore the Humboldt Network section](#) of the Foundation's website.

The service portal [My Humboldt](#) also allows users to upload and update their lists of publications. The database "Publications" contains bibliographical data of academic publications by Humboldtians resulting from sponsorship by the Alexander von Humboldt Foundation (from the year 2000 onwards). It also includes details of translations of German publications. The Alexander von Humboldt Foundation requests all Humboldtians to enter their publications there. The data is publicly accessible.

If you wish to connect with Humboldtians in the USA, you may also contact the offices of the American partner organisation of the Alexander von Humboldt Foundation in Washington, [American Friends of the Alexander von Humboldt Foundation](#).

7. Humboldt Life in the DAAD & Humboldt Community: Social network for fellows, award winners, alumni and partners

The [DAAD & Humboldt Community](#) is a social network for fellows, award winners, alumni, friends, sponsors, and partners of the German Academic Exchange Service and the Alexander von Humboldt Foundation, who want to stay connected with each other, with Germany, and with the world. Community members have access to a wide range of calls for applications, job offers, (virtual) events, and mentoring opportunities.

In "[Humboldt Life](#)," the exclusive group of the Alexander von Humboldt Foundation, members of the Humboldt network can exchange ideas and explore the latest information from the Humboldt Foundation.

Humboldt Research Professorship
International Award for Research in Germany
Regulations on the Use of Funds

(August 2026)

Contents

Regulations on the Use of Funds (as of: August 2026)

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I. The programme and its objective

Through its Humboldt Research Professorships funded by the Federal Ministry of Research, Technology and Space as part of the Global Minds Initiative Germany, the Alexander von Humboldt Foundation enables top-tier researchers of all disciplines from other countries to carry out their innovative and creative research projects in Germany and establish them at German universities. Award winners are expected to initiate long-term collaborations with specialist colleagues in Germany, set up and manage an international working group in Germany and develop additional promising and creative research formats to optimally suit their research interests and methodologies.

The award is aimed at outstanding researchers from abroad who can be expected to achieve further scientific breakthroughs in the future based on their highly successful, unconventional, innovative and audacious previous research.

Receiving institutions will be given flexible options for integrating and institutionally embedding the award winners, for example in the form of shared professorships or lab-to-lab professorships, providing significant latitude to recruit outstanding academics to work on internationally highly competitive research topics in Germany and structurally expand fields of research.

Humboldt Research Professors will be permitted to simultaneously continue conducting their own professorships or working groups in their countries of origin during the sponsorship. The funding regulations also permit them to relocate fully to Germany; long-term prospects for remaining in the country can be negotiated locally on an individual basis.

The award funds total EUR 1.5 million for theoretical researchers and EUR 3 million for academics in experimental disciplines, both over a period of five years. The receiving institution in Germany will be provided with an additional administrative flat-rate amounting to 20% of the award funds. In the event that the award winner remains in Germany on a long-term basis, for example as a result of being appointed to a permanent professorship or comparable lifetime position, the receiving institution may under certain conditions apply for additional funding of up to EUR 500,000 and an extension of the sponsorship period by one year.

II. Recipient of award funds and administrative flat rate, authority administering the funds

The recipient of the award funds and the administrative flat-rate (in the following named “funds”) is the award winner. The Alexander von Humboldt Foundation expects the receiving institution in Germany stated in the Alexander von Humboldt Foundation’s letter of conferment of the award (award letter), at which the award winner intends to conduct his/her research, to take on fiduciary responsibility for the administration of the funds in the name of and on behalf of the award winner. For this purpose, an agreement must be concluded between the award winner and the receiving institution, and submitted to the Alexander von Humboldt Foundation before the first instalment of the funds is paid (see also Form, Enclosure 1). The funds are remitted to the receiving institution at the award winner's request (see also Form, Enclosure 2).

Switching to a different receiving institution with transfer of funds is possible in consultation with the Alexander von Humboldt Foundation, provided that the award winner accepts a permanent professorship or a comparable lifetime position at an institution other than the current receiving institution. Otherwise, a switch is not envisaged.

III. Purpose, use and provision of award funds and administrative flat-rate

The award funds stated in the award letter will be available to the award winner immediately after the conferment of award for a period of five years for the purpose of establishing and managing an international working group in Germany as well as for other suitable creative and promising research formats for cooperation with specialist colleagues in Germany. In the event that the award winner remains in Germany on a long-term basis, for example as a result of being appointed to a permanent professorship or comparable lifetime position, the receiving institution and the award winner may under certain conditions apply for additional funding of up to EUR 500,000 and an extension of the sponsorship period by one year. A prerequisite for approval is the submission of appropriate evidence confirming the lifetime position, taking effect no later than immediately after the end of the funding period, as well as an updated project outline and financial plan (see also [information sheet](#), Enclosure 4).

The award winner must use the award funds to conduct his/her research at the receiving institution in Germany. The award funds may be used to cover all expenses which serve this purpose (including the necessary equipment and material resources, personnel, travel expenses etc.). The award winner may withdraw a sum of up to EUR 10,000 per month (1/30 of the monthly amount per day) as personal income to cover his/her living expenses during a research stay in Germany. In the event of a relocation to Germany, up to EUR 120,000 per annum may be withdrawn as personal income to fund the position, for example a professorship. Other income, including additional salary payments by the receiving institution or from third parties, will not be set against this.

The award winner is otherwise free to determine the contractual details concerning his/her personal income from the award funds within the valid legal regulations as well as regulations with regard to collective bargaining and salary law in agreement with the receiving institution. The level of remuneration taken from the award funds (up to EUR 10,000 per month or up to EUR 120,000 per annum) must, however, not be exceeded.

The receiving institution in Germany receives a lump sum amounting to 20% as markup to the award funds (administrative flat-rate). It can be used to cover any costs resulting from the use of existing and/or specifically acquired material or specifically created personnel infrastructure (e.g., general institute facilities, laboratories or workrooms, operating and maintenance costs, finance and personnel management and auditing). In addition, in the event of a relocation to Germany, the administrative flat-rate may be used to finance e.g. “welcome packages” that provide measures to integrate the award winner into his/her new living environment and the research institution, including support for the professional integration of the award winner’s partner. The administrative flat-rate is requested together with the award funds by the award winner with the assistance of the receiving institution (see also Form, Enclosure 2).

The receiving institution may place unused funds from the administrative flat-rate at the disposal of the award winner to carry out his or her research work.

The award funds and the administrative flat-rate must be used economically and prudently.

The funds are paid in instalments by the Alexander von Humboldt Foundation. The first instalment is available on request (see also Form, Enclosure 2) as soon as the recipient of the award has

- accepted the award by returning the written declaration of acceptance to the Alexander von Humboldt Foundation,
- submitted the signed "Agreements between the award winner and the receiving institution" (see also Form, Enclosure 1),
- submitted a project outline and financial plan,
- submitted the "Fund request" (see also Form, Enclosure 2) to the Alexander von Humboldt Foundation.

The following deadlines must be observed (the date of the Alexander von Humboldt Foundation's award letter serves as reference date):

- within 4 weeks at the latest: submission of written declaration of acceptance,
- the commencement of the planned research work should be as soon as possible, as a general rule within 6 months, at the latest within 12 months after the conferment of the award.

In case these deadlines are not met, the Alexander von Humboldt Foundation reserves the right to revoke the conferment of award.

Payments of funds are dependent on the availability of budgetary means.

Any interest accrued during the funding period must be used for the research project.

IV. Human and material resources

The award winner and the receiving institution come to a mutual agreement (see also Form Enclosure 1) on the procedure for employing staff during the funding period and on the regulations governing the awarding of assignments or conclusion of other contracts. The receiving institution acts as employer on behalf of the award winner. This status is based upon the local, standard legal general conditions governing the use of public funds applicable at the receiving institution (especially with respect to labour, social security and collective bargaining law). The same applies to the use of material resources, particularly expenditure on travel and the awarding of assignments to third parties. The award winner may earmark part of the award funds which the receiving institution may use to grant fellowships, particularly to guest researchers from abroad. The basis for determining the value of the fellowships should be the monthly instalments paid by the German Academic Exchange Service and the Alexander von Humboldt Foundation to graduate and post-doc fellows respectively.

V. Scientific equipment

Scientific equipment financed from the award funds is purchased by the receiving institution in the name of and on behalf of the award winner in accordance with his/her requirements. Upon purchase, it immediately becomes the property of the receiving institution. Equipment with a procurement or production value of more than EUR 800 (excluding purchase tax) passes into the inventory of the receiving institution and must continue to be used for scientific purposes when the funding period comes to an end.

The receiving institution ensures that the necessary technical and financial conditions for installing and operating the equipment exist and that the award winner has the full right of disposition over the equipment during the entire funding period. The equipment remains the property of the receiving institution in the event that the award winner transfers to another institution. The award winner may only remove the equipment to another institution in Germany in mutual agreement with the receiving institution and with prior written authorisation by the Alexander von Humboldt Foundation.

VI. Taxation, social security and other levies

The award winner bears responsibility for all matters relating to taxation, customs, employment, and social security legislation and for observing all other laws and state regulations; administrative responsibility lies with the receiving institution. A declaration of commitment to this effect must be submitted to the Alexander von Humboldt Foundation (see also Form, Enclosure 1). Particular attention is drawn to special tax regulations in respect of fellowships as well as work and service contracts in Germany and, if applicable, abroad.

VII. Exploiting research results – publications, patents and licenses. Use of the Alexander von Humboldt Foundation logo

The Alexander von Humboldt Foundation considers it important that research results achieved in the framework of sponsorship be published. In publications and all other (especially public) presentations, appropriate reference should be made to the funding provided by the Alexander von Humboldt Foundation as well as the donor, the Federal Ministry of Research, Technology and Space:

- In publications, an appropriate place must be chosen to state that funding has been provided by the Alexander von Humboldt Foundation in the framework of the Humboldt Research Professorship endowed by the Federal Ministry of Research, Technology and Space.
- Publications, stating the title and bibliographical details, must be uploaded on the service portal [My Humboldt](#) on the Alexander von Humboldt Foundation's website.
- If award winners are interested in publishing research results in the fields of chemistry, physics, mathematics or informatics through the [German National Library of Science and](#)

[Technology](#) (TIB) – Leibniz Information Centre for Science and Technology and University Library they can contact the TIB directly ([contact information and contact persons](#)).

If it is planned to use the Alexander von Humboldt Foundation logo, the following needs to be observed:

- The use of the Alexander von Humboldt Foundation logo in any kind of communication is subject to strict rules. The logo and its constituent parts are a trademarked name and may not be reproduced without obtaining the express written authorisation of the Foundation in advance. The logo consists of two parts: Alexander von Humboldt's bust and the lettering. These elements jointly comprise the **inseparable** word/image trademark. The logo and its constituent parts may not be copied, altered or truncated or integrated in other logos.
- Approval for using the logo with the label "Unterstützt von/ Supported by" is deemed to have been granted if publications and any other, especially public, statements (e.g., conference presentations) contain reports on research results that are directly related to a sponsorship by the Alexander von Humboldt Foundation. For this purpose, the logo with the label "Unterstützt von/Supported by" may be downloaded from the password-protected ["My Humboldt"](#) section of the service portal in an electronic file format that conforms to the specific requirements for print.
- Any other use of the logo requires prior written permission from the Alexander von Humboldt Foundation and must be requested in writing, stating the intended use of the logo.

The Alexander von Humboldt Foundation considers it important that research results achieved in the framework of sponsorship be utilised. Commercially utilisable results should be adequately protected (particularly by patents and utility models) and exploited:

- Research results potentially eligible for commercial exploitation must be presented to the appropriate agencies, such as industry. If it seems recommendable to register a patent or similar legal protection for research results, it is essential, for legal reasons, to start proceedings **before** publishing the relevant results. The people to contact specialised in registering patents and legally protecting research results are patent agents ("Patentanwälte*Patentanwältinnen") and patent broking companies or agencies ("Patentverwertungsstellen/-agenturen"). All related matters (legal questions, whom to contact about publication, registering patents etc.) must be clarified with the receiving institution directly.
- The legal relationship between the award winner and the receiving institution is governed by the provisions of the German "Employees Invention Act", whereby the award winner should enjoy the same status as a professor in the legal context. A written agreement to this effect between the award winner and the receiving institution must be submitted to the Alexander von Humboldt Foundation (see also Form, Enclosure 1).

VIII. Reports on the use of funds and audits

By April 30th of each year, the award winner is required to submit a brief report on work carried out and results achieved in the preceding calendar year as well as a digital copy of the numerical (interim) report on the use of funds to the Alexander von Humboldt Foundation (see also Form, Enclosure 3). A detailed final report on work carried out and results achieved, and a digital copy of the numerical report on the use of (total) funds for the entire funding period must be submitted not later than four months after the funding period has come to an end. The receiving institution must retain the originals of the reports on the use of funds as well as the receipts for the period stated in its own regulations, for at least six years. The report on work must present the results achieved in detail and go into the most important items in the numerical report on the use of funds. Reports on work may be passed on to the Alexander von Humboldt Foundation's peer reviewers. As far as these reports contain information deserving special protection, e.g. relevant to patent law, this must be particularly indicated. In this respect, the Alexander von Humboldt Foundation would come to an agreement with the award winner prior to a possible publication.

The award winner must certify that the funds have been used in accordance with their stated purpose as well as economically and prudently. The department authorized to represent the receiving institution in personnel and business matters must certify that the facts and figures in the report on the use of funds are correct. If the receiving institution has its own auditors, they must review and endorse that the funds have been used in accordance with their stated purpose as well as economically and prudently. If the audit cannot be carried out at this institution, then an external auditor must be commissioned to conduct the audit. The costs for this may be deducted from the administrative flat-rate.

Any funds that remain unused after completion of the final accounts must be returned immediately to the Alexander von Humboldt Foundation, regardless of the deadline for submission of the final report on work carried out and the numerical report on the use of funds.

The Alexander von Humboldt Foundation, the Federal Ministry of Research, Technology and Space, as well as the Federal Audit Office or auditors authorised by them are entitled to request the submission of books, receipts and other business records and to verify the use of funds in local surveys at any time. The award winner and the receiving institution must give written approval for this procedure and submit it to the Alexander von Humboldt Foundation (see also Form, Enclosure 1).

IX. Rules of good scientific practice, legal regulations and general obligations

The award recognises the entire academic record to date and the personality of outstanding scientists and scholars. When carrying out the research work funded, award winners are obligated to comply with the rules of good scientific practice and the relevant laws that apply at the respective research location, and to the Alexander von Humboldt Foundation. Besides personal integrity it is further assumed that award winners have observed the applicable regulations and laws also in the previous research they have conducted.

By accepting the award, the award winners obligate themselves:

1. to notify the Alexander von Humboldt Foundation immediately if the intended use of funds is altered or no longer relevant. This also applies to anything more than just minor changes to the approved research project (see also III., “project outline”);
2. when carrying out the research work funded, to comply in Germany *in particular* with:
 - the rules of good scientific practice (see also Enclosure 5);
 - when planning and carrying out experiments on human subjects
 - a. [the World Medical Association Declaration of Helsinki](#) – Ethical Principles for Medical Research Involving Human Subjects in the revised version of October 2013;
 - b. the [German Embryo Protection Act](#) (Gesetz zum Schutz von Embryonen, ESchG), as amended;
 - c. the [German Act Ensuring Protection of Embryos in Connection with the Importation and Use of Human Embryonic Stem Cells](#) (Gesetz zur Sicherstellung des Embryonenschutzes im Zusammenhang mit Einfuhr und Verwendung menschlicher embryonaler Stammzellen, StZG), as amended;
 - when planning and carrying out experiments on animals, the regulations of the [German Animal Welfare Act](#) (Tierschutzgesetz, TierSchG) and the relevant implementing rules, as amended;
 - when planning and carrying out genetic engineering experiments, the regulations of the [German Genetic Engineering Act](#) (Gesetz zur Regelung der Gentechnik, GentG) and the relevant implementing rules, as amended;
 - when planning and carrying out experiments relating to matters of biological diversity as covered by the [Nagoya Protocol](#):
 - a. [Regulation \(EU\) No 511/2014](#) on Compliance Measures for Users from the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their Utilization in the Union;
 - b. [Implementing Regulation \(EU\) 2015/1866](#) laying down detailed rules for the implementation of Regulation (EU) No 511/2014 as regards the register of collections, monitoring user compliance and best practices;
 - c. the [German Act Implementing the Obligations under the Nagoya Protocol, Implementing Regulation \(EU\) No. 511/2014 and Amending the Patent Act and the Environmental Audit Act](#) (Gesetz zur Umsetzung der Verpflichtungen nach dem Nagoya-Protokoll, zur Durchführung der Verordnung (EU) Nr. 511/2014 und zur Änderung des Patentgesetzes sowie zur Änderung des Umweltauditgesetzes), as amended;
 - when transferring knowledge abroad, which could be of importance to the military or the armaments industry of the transfer countries, the respective valid version of the relevant regulations in the Federal Republic of Germany’s [foreign trade law](#) and [foreign trade decrees](#) as well as further relevant implementation rules;

- when using the sponsorship received, the provisions of the respective current Budget Act of the Federal Republic of Germany, according to which the funds may not be used to finance terrorist activities and may not be given to recipients who are terrorist organisations or support terrorist organisations;
3. In agreement with the receiving institution and in accordance with the requirements for leading an international working group and carrying out the planned research work in Germany, to be present at the receiving institution on a regular and long-term basis;
 4. to inform the Alexander von Humboldt Foundation immediately of applications for or any further funding granted for the same purpose;
 5. to abide by the rules on the use of the Alexander von Humboldt Foundation logo (see also VII).

X. General regulations

The Regulations on the Use of Funds are integral to the award.

The German-language text of the Regulations on the Use of Funds is authoritative; the English-language text merely serves as a useful translation.

Should the Regulations on the Use of Funds fail to be respected, the Alexander von Humboldt Foundation reserves the right to revoke the decision on conferring the award in part or in full, to discontinue payment of further funds or to demand repayment of the funds granted or parts thereof. This also applies if

- the award winner makes or has made false statements during the nomination process or during the funding period or if other serious facts emerge which would have militated against the conferment of the award had they been known to the selection committee at the time. The procedures and penalties in the event of violations of the Regulations on the Use of Funds and especially in case of scientific or other malpractice are detailed in the document, “Rules of good scientific practice, procedures, and penalties in the event of malpractice” (see also Enclosure 5);
- the conditions for receiving sponsorship are no longer met;
- the award winner terminates the sponsorship in Germany;
- it becomes evident that the award winner is not making sufficient or reasonable efforts to achieve the purpose of the sponsorship;
- the award winner is denied entry to or permission to reside in the Federal Republic of Germany;

- the award winner participates in terrorist activities or supports terrorist activities.

If the Alexander von Humboldt Foundation terminates the sponsorship, payment of funds is discontinued and any payments made for the period after termination must be repaid. If incorrect information has been provided regarding significant facts or if such facts have been concealed, or if the Alexander von Humboldt Foundation has identified serious violations of the Rules of Good Scientific Practice and established these in properly completed proceedings, the amounts received must be repaid from the beginning as per Section 49a Para. 3 of the German Administrative Procedure Act (“Verwaltungsverfahrensgesetz”, VwVfG) at an annual interest rate of five percent above the base rate in accordance with Section 247 of the German Civil Code (“Bürgerliches Gesetzbuch”, BGB).

In other cases where the sponsorship is terminated by the Alexander von Humboldt Foundation, the amounts received must be repaid from the date on which the reason for termination occurred and interest must be paid accordingly. The obligation to return the funds persists even after the sponsorship period has ended. If the reason for termination does not lie with the award winner, he or she may retain any funds paid by the Alexander von Humboldt Foundation up to the point of termination of the sponsorship.

The Alexander von Humboldt Foundation reserves the right to amend the Regulations on the Use of Funds at any time, provided that the amendments, while taking due account of the interests of the Alexander von Humboldt Foundation, are reasonable vis-à-vis the award winner. Amendments will be announced to the award winner in writing well in advance. The amendments are considered to have been approved if the award winner has not lodged an objection in writing within four weeks. In the event of an objection, the Alexander von Humboldt Foundation reserves the right to cease funding within a reasonable period of time.

The sole legal venue is Bonn/Germany. German law applies exclusively without conflicting rules.

(as of: August 2026)



TRANSLATION (For completion please use the German version)

Humboldt Research Professorship

Agreements between the award winner and the receiving institution

Award winner:

**Receiving institution entrusted with the
administration of funds (award funds and
administrative flat-rate):**

The above-mentioned institution and the award winner have reached the following agreements:

a) Purpose and administration of funds:

The award funds are intended for carrying out the award winner's research at the receiving institution in Germany. The administrative flat-rate is intended for compensating the receiving institution for the resulting material and staff expenses. The receiving institution entrusted with the administration of funds has taken note of the Regulations on the Use of Funds enclosed in the award documents and will support the award winner to the best of its ability while observing these regulations. In addition, the following agreements have been concluded:

b) Agreement on the administration of funds as well as taxation, customs, employment and social security matters; furthermore, on compliance with other laws and state regulations (declaration of commitment; see also Regulations on the Use of Funds, II., IV., VI., VIII., IX.):

The award winner bears responsibility for all matters relating to taxation, customs, employment and social security legislation and for observing all other laws and state regulations; administrative responsibility lies with the receiving institution. The latter acts as employer on behalf of the award winner, takes on responsibility for the administration of funds and retains the receipts for the period stated in its own regulations, for at least six years.

c) Agreement on patents and licenses (see also Regulations on the Use of Funds, VII.):

The legal relationship between the award winner and the receiving institution is governed by the provisions of the German "Employees Invention Act", whereby the award winner should enjoy the same status as a professor in the legal context.

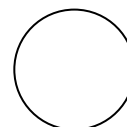
d) Agreement on the right to audit (see also Regulations on the Use of Funds, VIII.):

The Alexander von Humboldt Foundation, the Federal Ministry of Research, Technology and Space, as well as the Federal Audit Office or auditors authorised by them are entitled to request the submission of books, receipts and other business records and to verify the use of funds in local surveys at any time.

e) Alterations and additions to these agreements require the authorisation of the Alexander von Humboldt Foundation.

Place and date

Award winner's personal signature



Designation and official stamp of the office authorised to
represent the receiving institution in personnel and business matters

Place and date

Name of signatory

Personal signature


Humboldt Research Professorship
Mittelabruf / Fund Request
Preisträger*in / Award winner:
Mit der Verwaltung der Mittel (Preisgeld und Verwaltungspauschale) betraute aufnehmende Institution / Receiving Institution entrusted with the administration of funds (award funds and administrative flat-rate):
Benötigte Mittel/ Funds required:

Jahr/Year	2026	2027	2028	2029	2030	2031	Gesamt/ Total
1. Personalmittel (Hinweis: Mittel zur Deckung des Lebensunterhaltes der Preisträgerin*des Preisträgers in Deutschland – max. 10.000,- EUR pro Monat oder 1/30 des Betrages pro Tag) bzw. max. 120.000 EUR p.a. / Human resources (Please note: funds to cover the award winner's living expenses in Germany – max. of EUR 10,000 per month or 1/30 of the amount per day, respectively max. of EUR 120,000 p.a.)	EUR	EUR	EUR	EUR	EUR	EUR	EUR
2. Sachmittel / Material resources	EUR	EUR	EUR	EUR	EUR	EUR	EUR
3. Verwaltungspauschale (bis zu 20 % als Aufschlag auf das Preisgeld) / Administrative flat-rate (up to 20 % as markup to the award funds)	EUR	EUR	EUR	EUR	EUR	EUR	EUR
Summe/Total	EUR	EUR	EUR	EUR	EUR	EUR	EUR

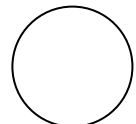
Die Auszahlung erfolgt in Teilbeträgen – je nach Bedarf und Verfügbarkeit der Haushaltsmittel – sobald wie möglich. Der Kassenbestand zum 31.12. eines jeden Jahres darf 20% des in dem betreffenden Jahr ausgezahlten Gesamtbetrages nicht überschreiten; in begründeten Ausnahmefällen ist eine Überschreitung möglich. /
 Payments are made in instalments and are effected – depending on the demand and the availability of budgetary means – as soon as possible. The cash balance as per Dec. 31st of each year must not exceed 20% of the instalment paid that year; in exceptional cases this amount can be exceeded.

Kontoverbindung der mit der aufnehmenden Institution:/ Bank account of the receiving institution:

Kontoinhaber*in / Account holder	
Name der Bank / Name of the bank	
BIC / SWIFT Code / Bank code	
IBAN / Account number	
Evtl. Verwendungszweck / Intended use if applicable	

Ort und Datum / Place and date

 Eigenhändige Unterschrift der Preisträgerin*des Preisträgers
 Award winner's personal signature

 Wir haben bei der Erstellung dieses Mittelabrufes mitgewirkt:/
 We have assisted in the preparation of this Fund Request:

 Bezeichnung und Dienststempel der Stelle, die zuständig ist, die aufnehmende Institution im Bereich der Personal- und Wirtschaftsverwaltung zu vertreten /
 Designation and official stamp of the department authorised to represent the receiving institution in personnel and business matters.

Ort und Datum / Place and date

 Name der*des Unterzeichnenden /
 Name of signatory

Eigenhändige Unterschrift / Personal signature

Humboldt Research Professorship**Report on the Use of Funds**

for the period from _____ to _____

Interim report

Final report – *Please indicate***Award winner:****Receiving institution entrusted with the administration of funds:****Award funds (total):****Administrative flat-rate (total):****Funding period (total):** _____ to _____**A. Report on work carried out and results achieved** (please attach as separate enclosure)**B. Numerical report for the report period from** _____ **to** _____ **:**

Cash balance at the beginning of the report period

*of these: award funds**of these: administrative flat-rate*

Award funds accrued during the report period

Administrative flat-rate accrued during the report period

Interest accrued during the report period

Total disposable funds during the report period

*of these: award funds**of these: administrative flat-rate*

Expenses paid from the funds:

1. Human Resources

of these: personal income of the award winner

2. Material resources**2.1. Scientific equipment**

Items with a procurement or production value of more than EUR 800 (excluding purchase tax) are included in the receiving institution's inventory. They are available to be used for scientific purposes as of the end of the funding period.

2.2. Travel expenses**2.3. Consumables/Other****3. Administrative flat-rate**

Total expenditure during the report period

Cash balance at the end of the report period

*of these: award funds**of these: administrative flat-rate*

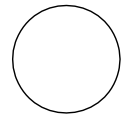
After submitting a digital copy of the report on the use of funds to the Alexander von Humboldt Foundation, the receipts as well as the original of the report on the use of funds will be retained by the receiving institution for the period stated in its regulations, for at least six years.

The report on work carried out and results achieved is enclosed. The Regulations on the Use of Funds have been observed. The funds have been used economically and prudently.

Place and date_____
Award winner's signature

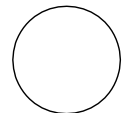
It is herewith certified that the above-cited facts and figures are correct:

Designation and, if applicable, official stamp of the department authorised to represent the receiving institution in personnel and business matters

_____
Place and date_____
Name of signatory_____
Personal signature

It is herewith certified that the funds have been used in accordance with their stated purpose as well as economically and prudently and, furthermore, that the transactions and receipts correspond with the data:

Designation and, if applicable, official stamp of the auditing body

**Please indicate:**

Department of the receiving institution authorised to conduct internal audits

External auditor

Place and date_____
Name of signatory_____
Personal signature

Humboldt Research Professorship – International Award for Research in Germany

Information sheet:

Application for additional funding and extension of funding period

Principle:

In the case of staying in Germany in a permanent position, the receiving or appointing institution and the award winner may apply for additional funding of up to EUR 500,000 and an extension of the funding period of one year. This additional funding is intended primarily to cover the increased personnel expenses and to support an early appointment during the transition into a permanent position in Germany. In principle, these are supplementary funds that may be used flexibly to meet specific needs arising in the context of the appointment.

Application requirements:

The following documents must be submitted:

- an informal application from the receiving or appointing institution and the award winner, including a detailed justification of the additional funding demand;
- appropriate evidence confirming the lifetime position, taking effect no later than immediately after the end of the funding period;
- an updated project outline and financial plan;
- an updated fund request;
- confirmation from the receiving or appointing institution concerning the administration of funds in the extension period.

Procedure:

The application shall be submitted responsibly by the receiving or appointing institution, together and in agreement with the award winner, at the institution where the lifetime position is to be held. It may be submitted at any time during the funding period once a legally binding appointment agreement has been concluded. The lifetime position may commence at any time during the funding period, at the latest on the day following the end of the funding period of five years. An application to extend the funding period to a sixth year is possible but is not mandatory.

Applications will be reviewed by the Alexander von Humboldt Foundation office and approved provided that the above-mentioned requirements are met. If necessary, independent external peer reviews will be obtained.

Following approval, the additional funding will be available in accordance with the demand documented in the financial plan and the fund request.

Approval is dependent on the availability of budgetary means.

Rules of good scientific practice, procedures, and penalties in the event of malpractice

1. Rules of Good Scientific Practice

1.1. Those sponsored by the Alexander von Humboldt Foundation are obligated to inform themselves about and comply with the rules of good scientific practice that are in effect at their host institution.

1.2. Moreover, those sponsored are to pledge themselves, and the staff they employ in the framework of Alexander von Humboldt Foundation funding, to observe the rules of good scientific practice below. Violations of these rules and scientific or other malpractice (see paragraphs 2 and 3) may result in the penalties described in paragraph 4 below.

1.3. The following principles constitute the rules of good scientific practice, both in general and specified to the individual disciplines as necessary:

- **General principles of scientific work:**

- to work *in accordance with the accepted standards of the discipline*;
- to consider aspects of security-relevant research¹ (dual use, ethics) and document these while assessing opportunities and risks;
- to observe the rules specific to the respective discipline with regard to the attaining, selecting, using, documenting and long-term securing of data and other findings
- to challenge consistently all one's own findings
- to maintain strict honesty with regard to the contributions of partners, competitors, and predecessors.

- **Cooperation and supervisory responsibility in working groups:**

- to assure cooperation and supervisory responsibility in working groups; in particular, to take organisational measures to ensure that the tasks of leadership, supervision, conflict management, and quality assurance are clearly assigned and actually fulfilled;
- not to compromise the research activities of others.

- **Supervision of junior researchers:**

- to ensure appropriate supervision for graduates, doctoral candidates and students, in particular by providing each of them with a primary mentor in the working group. Responsibility for promoting junior researchers is a top-level management priority.

¹ Refer to [Recommendations for Handling Security-Relevant Research](#) by the German Research Foundation (Deutsche Forschungsgemeinschaft, DFG).

- **Scientific publications:**

- to produce and disseminate scientific publications in accordance with the accepted rules and standards of the discipline; and, in particular when new findings are to be published, to describe these findings and the applied methods completely and comprehensibly as well as account for one's own and others' preliminary work exhaustively and correctly.
- If several participants are involved in a scientific project and its subsequent publication, only those can be named as co-authors who significantly contributed to the conceptual design, formulation, analysis and interpretation of the data or findings, and the drafting of the manuscript, and have consented to its publication; so-called 'honorary authorship' is not permissible; third-party support is to be listed under acknowledgements.

2. Scientific or other malpractice

2.1. Scientific malpractice is the misrepresentation of facts in a scientific context, either consciously or due to gross negligence, infringement of intellectual property of others, or any other encroachment upon others' research activities. Decisions will be made on a case-by-case basis. The following, in particular, can be considered scientific malpractice:

2.1.1. Misrepresentation of facts such as

- 2.1.1.1. forging or distorting data, e. g. by selecting and rejecting undesirable results without declaring them, or by manipulating illustrations or images, or by incongruously presenting images and associated statements;
- 2.1.1.2. false information in an application or a sponsorship proposal, including false information about the publication organ and publications in print.

2.1.2. Unauthorised appropriation of others' scientific achievements concerning copyrighted work by others or significant scientific findings, hypotheses, teachings, or research approaches by others such as

- 2.1.2.1. unauthorised utilisation by presumption of authorship (plagiarism), exploitation of research approaches and ideas, in particular as a peer reviewer (theft of ideas);
- 2.1.2.2. presumption or unsubstantiated appropriation of scientific authorship or co-authorship;
- 2.1.2.3. misrepresentation of contents;
- 2.1.2.4. unauthorised publication and unauthorised granting of access to third parties prior to publication of the work, the finding, the hypothesis, the teaching or the research approach;
- 2.1.2.5. claiming authorship or co-authorship of others without their consent.

2.1.3. Sabotage of research activity, including damaging, destroying, or manipulating experimental arrangements, equipment, documents, hardware, chemicals, or other materials needed by others to conduct

scientific work (including malicious displacement or abstraction of books and other documents).

2.1.4. Deletion of primary data if it infringes legal provisions or accepted principles of scientific work in the discipline.

2.2. Scientific misconduct also comprises behaviour that entails a shared responsibility for the misconduct of others, in particular by active participation, joint knowledge of misrepresentations, co-authorship of falsified publications, or gross negligence of supervisory responsibilities.

2.3. For the purpose of the present rules, other misconduct is applicable if grave circumstances are discovered that challenge the personal aptitude of the individual sponsored to be a member (multiplier) of the global Alexander von Humboldt Foundation network. One of the indispensable expectations of those receiving support is that they do not demean other people on the basis of their membership of a group such as nationality, religion, gender, ethnicity or sexual orientation, incite violence on such characteristics or glorify violence.

3. Penalties

In the event of grave violation of the above rules of good scientific practice, in particular scientific or other malpractice, the Alexander von Humboldt Foundation can impose one or several of the following penalties, depending on the nature and gravity of the established misconduct:

3.1. written reprimand of the person concerned;

3.2. request that the person concerned retract the discredited publication or correct the falsified data (in particular by publishing an erratum), or appropriately indicate the recall of Alexander von Humboldt Foundation sponsorship, for example in the erratum;

3.3. Temporary suspension of sponsorship decisions pending the resolution of the issue

3.4. Forfeiture of eligibility for Alexander von Humboldt Foundation sponsorship, permanent or temporary, depending on the gravity of the scientific malpractice;

3.5. Revocation of funding decisions (complete or partial cancellation of the grant, recall of funds granted, reclaim of funds spent), including the denial of the status of "Humboldtian";

3.6. Exclusion from review and committee work for Alexander von Humboldt Foundation.

4. Procedures

If a violation of the rules of good scientific practice (paragraph 1) or scientific or other malpractice (paragraph 2) is suspected, the following basic procedures take effect:

- 4.1.If probable cause is brought to the attention of the Alexander von Humboldt Foundation, the suspected person must be notified of the incriminating facts and be given the opportunity to respond in writing within four weeks. Simultaneously, the implementation of a funding decision can be suspended temporarily until the issue is resolved (see paragraph 3.3.). Without their consent, the identity of the informant and the allegedly injured party will not be disclosed to the party concerned in this phase (whistleblower protection).
- 4.2.In order to clarify the issue, the Alexander von Humboldt Foundation office is authorised to request oral or written statements by the concerned as well as third parties at any time.
- 4.3.If no response is received or if a response is examined and the suspicion persists, the Alexander von Humboldt Foundation will notify the party concerned, explicitly indicating the Alexander von Humboldt Foundation's penalty options as well as the right of the party to remonstrate within 4 weeks.
- 4.4.If use is not made of the right to remonstrate, the Alexander von Humboldt Foundation may impose one of the measures listed above in paragraph 3.
- 4.5.If the remonstration submitted by the person concerned fails to convince the Alexander von Humboldt Foundation, and in particular, fails to refute the probable cause plausibly, the Alexander von Humboldt Foundation may impose one of the above-mentioned penalties. The Alexander von Humboldt Foundation may seek an expert opinion regarding the alleged misconduct from the Ombuds Committee for Research Integrity in Germany, which is institutionally funded by the DFG, or from the comparable office at the host institution before making a decision.

5. Scope of application, coming into effect and temporary provisions

The above regulations apply to academics who receive sponsorship from the Alexander von Humboldt Foundation as well as applicants for funding, host institutions, alumni, members of selection committees, peer reviewers and special reviewers of the Alexander von Humboldt Foundation.

The regulations take effect on 01.08.2007. Individual projects sponsored by the Alexander von Humboldt Foundation that have been concluded by this date will not be affected by these regulations. They are, however, subject to the general rule that the Alexander von Humboldt Foundation can alter or revoke its funding decisions if circumstances are brought to its attention after the fact that would have led to a different decision on the part of the Alexander von Humboldt Foundation.