

Appendix 14
to the Programme Guidelines for the Philipp Schwartz Initiative

**The Philipp Schwartz Initiative of the
Alexander von Humboldt Foundation**
Here: Extension Option
Programme Information

(as of: 27.11.2017)

I. Background

In the context of initial sponsorship under the Philipp Schwartz Initiative, the host institutions strive to promote the Philipp Schwartz Fellows they are hosting and to help them develop their prospects. For their part, Philipp Schwartz Fellows contribute to the host institution through their research and additional activities. Despite great dedication on both sides, at the end of the initial sponsorship period, a raft of factors may pose challenges in achieving a transition to the next phase of their professional lives.

II. Objective of the extension option under the Philipp Schwartz Initiative

The extension option under the Philipp Schwartz Initiative is designed to help the host institution (HI) intensify the focus on developing the Philipp Schwartz Fellows' potential for successfully continuing their (research-related) careers and on elaborating creative solutions for this phase which may prove structurally beneficial to the HI itself.

III. Application requirements and sponsorship conditions

III.1 Eligibility

HIs, which successfully nominated and hosted one or more Philipp Schwartz Fellow(s) in Round 1 (application deadline: 31 March 2016), Round 2 (application deadline: 14 October 2016) or Round 3 (application deadline: 21 April 2017) of the call, are eligible to submit extension applications for the individuals they are hosting.

III.2 Sponsorship conditions

Under a co-financing model, it is possible to extend the fellowship for up to 12 months immediately following the initial sponsorship period. The co-financing model is composed of a period funded by the Alexander von Humboldt Foundation (Humboldt extension period, six months max.) and a period funded by the host institute (HI extension period, same duration as Humboldt extension period).

It is essential that the co-financing model is consecutive: the Humboldt extension period must follow the initial sponsorship period without interruption; the HI extension period must follow on immediately. Interruptions are not usually permissible either during the Humboldt period or the HI period.

For the duration of the Humboldt extension period, the same conditions and regulations apply as during the initial sponsorship period. The only difference is that the Humboldt Foundation can only provide fellowship funding, no additional lump sums.

During the HI extension period, the HI shall be responsible for the relevant arrangements. The HI will determine the benefits to be paid to the individual sponsored, which should be appropriate. The arrangements will no longer be subject to the funding regulations issued by the Federal Foreign Office and may, for example, take the form of an employment contract. The HI extension period shall no longer be operated under the name "Philipp Schwartz Fellowship". The HI shall be responsible for clarifying residence permit issues both for the Humboldt and for the HI extension period.

IV. Application procedure, selection

The application comprises the completed application form, signed by the person responsible, including confirmation that research facilities will be made available, as well as a hosting agreement (Appendix 15) and a financial plan (Appendix 16). All eligible, complete extension applications that are submitted by the deadline will be sponsored. The duration of sponsorship is dependent on the budgetary situation.

V. Sponsorship of Philipp Schwartz Fellows by the host institution and associated obligations

V.1 Conditions for extending Philipp Schwartz Fellowships

In accepting the award notice, the HI commits to recognising and observing the currently valid version of the programme guidelines pertaining to the Philipp Schwartz Initiative that originally governed the initial sponsorship period as well as the procedures therein (Appendix 1) during the six-month (max.) Humboldt extension period.

V.2 Reporting obligations

When the Humboldt extension period comes to an end, proof of use of funds must be submitted within three months in accordance with the programme documents, as before. For the numerical report, Appendix 10 should be used, as before; for the substantive report, Appendix 17. An interim report is not required.

Furthermore, three months after the HI extension period has come to an end, an additional substantive report referring to the HI extension period must also be submitted (Appendix 18). A numerical report for this period is not required.

VI. Deadlines and final conditions

The full application may be submitted to the Humboldt Foundation on behalf of the person receiving a Philipp Schwartz Fellowship no earlier than 18 months into the programme and no later than 21 months into the programme. This deadline is not a cut-off point: it may, however, not be possible to process applications that arrive late or incomplete. Responsibility for submitting a complete package of application documents to the Humboldt Foundation lies with the applicant.

The Humboldt Foundation will announce sponsorship decisions within eight weeks of receiving the application.

With reference to all documents pertaining to the Philipp Schwartz Initiative it should be noted that the valid documents at the time of application and reporting are the current versions of the regulations published on the programme portal. It is the responsibility of the applicant host institution to use the current version.

The application should be sent electronically to schwartz-initiative@avh.de. Electronic signatures are not accepted: signed application forms may subsequently be scanned and sent.