



## Appendix 9

to the Programme Guidelines of the Philipp Schwartz Initiative

# The Philipp Schwartz Initiative of the Alexander von Humboldt Foundation Substantive Report

(Last updated: 11/12/2015)

---

### **A. Use of the allocation and details of the results obtained**

The use of the allocation and details of the results obtained must be contrasted with the defined objectives in the Substantive Report. For this purpose, please respond to the following questions, using a maximum of five pages for the overall proof of employment of funds and a maximum of three pages for the interim report (A+B).

1. Which structures and processes were foreseen by your institution for the reception and support of threatened researchers and their families and how did you experience the creation and implementation of the former?
2. Which concrete benefits have you offered to threatened researchers (and their families, as applicable), and what experiences have you gained in the process?
3. Which processes have you implemented within your institution, a) to publicise your commitment to threatened researchers and b) to identify those threatened academics whose cause the institution is continuing to champion? What experiences have you gained in the process?
4. How have you negotiated issues relating to residence status in connection with threatened researchers and their families, and what experiences have you gained in the process?
5. What has been your experience of offering advisory services to the threatened researchers as regards their further professional development, either in academics or outside this field, after the Philipp Schwartz fellowship ends?

### **B. Main items in the statement of accounts**

The Substantive Report should describe the main items in the statement of accounts. Furthermore, the necessity and appropriateness of the work performed should be explained.

6. How did you use the lump sum of 12,000 EUR within your institution, which internal contributions and third-party funding were added to this, and which aspects of your concept may be able to be continued after the funding ends?
7. Explain the implementation of your concepts using the example of the individuals you are funding/have funded (actual duration of the support, additional funding for bridging time periods/topping up, regulation of administrative questions such as residence status, health insurance, proof of financing and practical questions including accommodation and (advisory) support services for the period following the funding).