



Appendix 13

to the Programme Guidelines of the Philipp Schwartz Initiative

The Philipp Schwartz Initiative of the Alexander von Humboldt Foundation Best Practice Funding

Programme Information

(last updated: 01 July 2016)

I. Background

The objective of the Philipp Schwartz Initiative, on the one hand, is to enable universities and other research institutions (hereinafter referred to as host institutions) in Germany to receive threatened researchers via the granting of funding. On the other hand, it aims to raise awareness of the plight of threatened researchers and to foster a network of stakeholders interested and involved in this issue.

II. Objective

Best practice funding aims to support those host institutions that have gained first experiences in working with Philipp Schwartz fellows. It is intended to help these institutions develop their planning with regard to making (aspects of) their commitment permanent, beyond Philipp Schwartz funding. The “best practice” created in this way will be made available to other interested institutions in the course of the initiative.

III. Application prerequisites

All host institutions that were selected to receive funding in the context of the 1st call of the Philipp Schwartz Initiative are eligible to apply. A concurrent application for fellowship funds in the context of the 2nd call is not required.

IV. Application procedure

At its core, the application will have a concept, in which the applicant institutions develop a pilot activity, to be implemented if the application succeeds. The pilot activity will explore the potential for sustainability and for the acquisition of third-party funding with regard to threatened researchers, within and outside the institution.

Elements of the application:

1. cover sheet (appendix 5 of the programme documents for the Philipp Schwartz Initiative)
2. concept of the host institution with timetable (2-3 pages); no formal requirements
3. financing plan (appendix 8 of the programme documents for the Philipp Schwartz Initiative)

The selection is carried out according to the following criteria

- persuasiveness of the concept
- compatibility of the concept with the host institution
- transparency of the financing plan
- potential to serve as „Best Practice“

The selection shall be made by a committee determined by AvH headquarters.

V. Funding

Up to 5 host institutions can be funded with a lump sum of up to 10,000 EUR respectively. The allocation of funds to host institutions will be made within the context of a fixed-rate funding programme in accordance with the General Auxiliary Conditions for Allocations for the Promotion of Projects (ANBest-P). Funding recipients will fulfill their reporting obligations by using the forms that they have agreed to employ for reporting in the context of the Philipp Schwartz Initiative. The Substantive Report (appendix 9) should be complemented by a question A 6: “Which experiences have you gained while implementing your concept for best practice funding?” (ca. 1 page), and a question B 8: “How did you utilize the lump sum of 10,000 EUR within your institution?”

VI. Deadlines and final provisions

The complete application must be submitted to the Alexander von Humboldt Foundation by **14 October 2016**. This submission deadline shall not be deemed a term of exclusion; however, applications received late or those which are incomplete may no longer be considered (send applications in paper form to: Alexander von Humboldt-Stiftung, Referat Strategische Planung, Stichwort: *Philipp Schwartz-Initiative*, Jean-Paul-Str. 12, 53173 Bonn; and also, in electronic form, to the email address schwartz-initiative@avh.de).